

01-14-01

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01/17/01  
J3984  
P.10Use type a plus sign (+) inside this box ☐Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	00-725
First Inventor	CHRISTOPH KLEINLOGEL
Title	PROCESS FOR THE PRODUCTION OF...
Express Mail Label No.	EL398543877US

J3976  
U.S. PTO  
09/76/031  
01/17/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit on original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification  
(Preferred arrangement set forth below)
- [Total Pages 14]
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 7]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<div style="background-color: black; width: 150px; height: 1.2em; margin: 0 auto;"></div> (Insert Customer No. or attach bar code label here)		or	<input checked="" type="checkbox"/> Correspondence address below
Name	Robert H. Bachman			
	BACHMAN & LAPOINTE, P.C.			
Address	900 Chapel Street, Suite 1201			
City	New Haven	State	CT	Zip Code 06510-2802
Country	USA	Telephone	203-777-6628	Fax 203-865-0297

Name (Print/Type)	Robert H. Bachman	Registration No. (Attorney/Agent)	19, 374
Signature	<i>Robert H. Bachman</i>	Date	1-17-01

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on January 17, 2001

(Date of Deposit)  
Antoinette Sullio

Name and Reg. No. of Attorney

*Antoinette Sullio*

Signature

1-17-01

Date of Signature

Patent fees are subject to annual revision

**Complete If Known**

Application Number	
Filing Date	
First Named Inventor	CHRISTOPH KLEINLOGEL
Examiner Name	
Group Art Unit	
Attorney Docket No.	00-725

**FEE CALCULATION (continued)**

- | 3. ADDITIONAL FEES                  |                                     |                                     |                                     |  | Fee Description | Fee Paid |
|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|--|-----------------|----------|
| Large<br>Entity<br>Fee<br>Code (\$) | Large<br>Entity<br>Fee<br>Code (\$) | Small<br>Entity<br>Fee<br>Code (\$) | Small<br>Entity<br>Fee<br>Code (\$) |  |                 |          |
| 105                                 | 130                                 | 205                                 | 65                                  | Surcharge - late filing fee or oath  |                 |          |
| 127                                 | 50                                  | 227                                 | 25                                  | Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 139                                 | 130                                 | 139                                 | 130                                 | Non-English specification  |                 |          |
| 147                                 | 2,520                               | 147                                 | 2,520                               | For filing a request for <i>ex parte</i> reexamination                     |                 |          |
| 112                                 | 920*                                | 112                                 | 920*                                | Requesting publication of SIR prior to Examiner action                     |                 |          |
| 113                                 | 1,840*                              | 113                                 | 1,840*                              | Requesting publication of SIR after Examiner action                        |                 |          |
| 115                                 | 110                                 | 215                                 | 55                                  | Extension for reply within first month                                     |                 |          |
| 116                                 | 390                                 | 216                                 | 165                                 | Extension for reply within second month                                    |                 |          |
| 117                                 | 890                                 | 217                                 | 445                                 | Extension for reply within third month                                     |                 |          |
| 118                                 | 1,390                               | 218                                 | 695                                 | Extension for reply within fourth month                                    |                 |          |
| 128                                 | 1,890                               | 228                                 | 945                                 | Extension for reply within fifth month                                     |                 |          |
| 119                                 | 310                                 | 219                                 | 155                                 | Notice of Appeal   |                 |          |
| 120                                 | 310                                 | 220                                 | 155                                 | Filing a brief in support of an appeal                                     |                 |          |
| 121                                 | 270                                 | 221                                 | 135                                 | Request for oral hearing   |                 |          |
| 138                                 | 1,610                               | 138                                 | 1,610                               | Petition to institute a public use proceeding                              |                 |          |
| 140                                 | 110                                 | 240                                 | 55                                  | Petition to revive - unavoidable   |                 |          |
| 141                                 | 1,240                               | 241                                 | 620                                 | Petition to revive - unintentional   |                 |          |
| 142                                 | 1,240                               | 242                                 | 620                                 | Utility issue fee (or reissue)   |                 |          |
| 143                                 | 440                                 | 243                                 | 220                                 | Design issue fee   |                 |          |
| 144                                 | 600                                 | 244                                 | 300                                 | Plant issue fee  |                 |          |
| 122                                 | 130                                 | 122                                 | 130                                 | Petitions to the Commissioner  |                 |          |
| 123                                 | 130                                 | 123                                 | 130                                 | Petitions related to provisional applications                              |                 |          |
| 126                                 | 180                                 | 126                                 | 180                                 | Submission of Information Disclosure Stmt                                  |                 |          |
| 581                                 | 40                                  | 581                                 | 40                                  | Recording each patent assignment per property (lines number of properties) | 40              |          |
| 146                                 | 710                                 | 246                                 | 355                                 | Filing a submission after final rejection (37 CFR § 1.129(e))              |                 |          |
| 149                                 | 710                                 | 249                                 | 355                                 | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |          |
| 179                                 | 710                                 | 279                                 | 355                                 | Request for Continued Examination (RCE)                                    |                 |          |
| 159                                 | 900                                 | 159                                 | 900                                 | Request for expedited examination of a design application                  |                 |          |
| Other fees (specify)                |                                     |                                     |                                     |  |                 |          |

1. BASIC FILING FEE					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>				<b>(\$)</b>	<b>355</b>

		Extra Claims		Fee from below	Fee Paid
Total Claims	10	-20** =		X	
Independent Claims	1	-3** =		X	
Multiple Dependent					

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue Independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent.


SUBTOTAL (2)	(\$)	--
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\*or number previously paid, if greater. For Reissues, see above

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	<b>(\$)</b>	<b>40</b>
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## Complete (if applicable)

Name (Print/Type)	Robert H. Bachman	Registration No. (Attorney/Agent)	19,374	Telephone	203-777-6628
Signature				Date	1-17-01

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ON January 17, 2001

(Date of Deposit)  
Antoinette Sullo

Name and Reg. No. of Attorney \_\_\_\_\_

**Signature**

Date of Signature \_\_\_\_\_

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